## **RAW SEQUENCE LISTING**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) no errors detected.

Application Serial Number:

Application Serial Number: Source:

Date Processed by STIC:

1-WO 5-26-05

ENTERED



**IFWO** 

RAW SEQUENCE LISTING DATE: 05/26/2005
PATENT APPLICATION: US/10/680,963A TIME: 10:30:14

Input Set : A:\GFI-108 Sequence listing.ST25
Output Set: N:\CRF4\05262005\J680963A.raw

3 <110> APPLICANT: GlycoFi, Inc.

```
Bobrowicz, Piotr
      4
      5
              Hamilton, Stephen R.
              Gerngross, Tilman U.
      6
      7
              Wildt, Stefan
              Choi, Byung-Kwon
      8
      9
              Nett, Juergen H.
     10
              Davidson, Robert C.
     12 <120> TITLE OF INVENTION: N-Acetylglucosaminyltransferase III expression in lower
              eukaryotes
     15 <130> FILE REFERENCE: GFI-108 CIP
     17 <140> CURRENT APPLICATION NUMBER: US 10/680,963A
     18 <141> CURRENT FILING DATE: 2003-10-07
     20 <150> PRIOR APPLICATION NUMBER: US 10/371,877
     21 <151> PRIOR FILING DATE: 2003-02-20
     23 <150> PRIOR APPLICATION NUMBER: US 09/892,591
     24 <151> PRIOR FILING DATE: 2001-06-27
     26 <150> PRIOR APPLICATION NUMBER: US 60/214,358
     27 <151> PRIOR FILING DATE: 2000-06-28
     29 <150> PRIOR APPLICATION NUMBER: US 60/215,638
     30 <151> PRIOR FILING DATE: 2000-06-30
     32 <150> PRIOR APPLICATION NUMBER: US 60/279,997
     33 <151> PRIOR FILING DATE: 2001-03-30
     35 <150> PRIOR APPLICATION NUMBER: PCT/US02/41510
     36 <151> PRIOR FILING DATE: 2002-12-24
     38 <150> PRIOR APPLICATION NUMBER: US 60/344,169
     39 <151> PRIOR FILING DATE: 2001-12-27
     41 <160> NUMBER OF SEQ ID NOS: 101
     43 <170> SOFTWARE: PatentIn version 3.2
     45 <210> SEQ ID NO: 1
W--> 46 <400> SEQUENCE: 1
W--> 47 000
     50 <210> SEQ ID NO: 2
W--> 51 <400> SEQUENCE: 2
W--> 52 000
     55 <210> SEQ ID NO: 3
     56 <211> LENGTH: 21
     57 <212> TYPE: DNA
     58 <213> ORGANISM: artificial
     60 <220> FEATURE:
     61 <223> OTHER INFORMATION: Primer A for target gene in P. pastoris (1,6-
mannosyltransferase)
     63 <400> SEQUENCE: 3
     64 atggcgaagg cagatggcag t
                                                                                21
```

DATE: 05/26/2005

TIME: 10:30:14

Input Set : A:\GFI-108 Sequence listing.ST25 Output Set: N:\CRF4\05262005\J680963A.raw 67 <210> SEO ID NO: 4 68 <211> LENGTH: 21 69 <212> TYPE: DNA 70 <213> ORGANISM: artificial 72 <220> FEATURE: 73 <223> OTHER INFORMATION: Primer B for target gene in P. pastoris (1,6mannosyltransferase) 75 <400> SEQUENCE: 4 76 ttagtccttc caacttcctt c 21 79 <210> SEQ ID NO: 5 80 <211> LENGTH: 26 81 <212> TYPE: DNA 82 <213> ORGANISM: artificial 84 <220> FEATURE: 85 <223> OTHER INFORMATION: Primer A for target gene in P. pastoris (1,2 mannosyltransferases) 89 <220> FEATURE: 90 <221> NAME/KEY: misc feature 91 <222> LOCATION: (9)..(9) 92 <223> OTHER INFORMATION: wherein "n" is equal to "a" or "t" or "g" or "c". 94 <220> FEATURE: 95 <221> NAME/KEY: misc feature 96 <222> LOCATION: (12)..(12) 97 <223> OTHER INFORMATION: wherein "n" is equal to "a" or "t" or "g" or "c". 99 <220> FEATURE: 100 <221> NAME/KEY: misc feature 101 <222> LOCATION: (18)..(18) 102 <223> OTHER INFORMATION: wherein "n" is equal to "a" or "t" or "g" or "c". 104 <400> SEQUENCE: 5 W--> 105 taytggmgng tngarcynga yathaa 26 108 <210> SEQ ID NO: 6 109 <211> LENGTH: 20 110 <212> TYPE: DNA 111 <213> ORGANISM: artificial 113 <220> FEATURE: 114 <223> OTHER INFORMATION: Primer B for target gene in P. pastoris (1,2 115 mannosyltransferases) 118 <220> FEATURE: 119 <221> NAME/KEY: misc feature 120 <222> LOCATION: (6)..(6) 121 <223> OTHER INFORMATION: wherein "n" is equal to "a" or "t" or "g" or "c". 123 <220> FEATURE: 124 <221> NAME/KEY: misc feature 125 <222> LOCATION: (12)..(12) 126 <223> OTHER INFORMATION: wherein "n" is equal to "a" or "t" or "q" or "c". 128 <400> SEQUENCE: 6 W--> 129 gcrtcncccc anckytcrta 20 132 <210> SEQ ID NO: 7 W--> 133 <400> SEQUENCE: 7 W--> 134 000

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/680,963A

RAW SEQUENCE LISTING DATE: 05/26/2005
PATENT APPLICATION: US/10/680,963A TIME: 10:30:14

Input Set : A:\GFI-108 Sequence listing.ST25
Output Set: N:\CRF4\05262005\J680963A.raw

137 <210> SEO ID NO: 8 W--> 138 <400> SEQUENCE: 8 W--> 139 000 142 <210> SEQ ID NO: 9 143 <211> LENGTH: 458 144 <212> TYPE: PRT 145 <213> ORGANISM: Saccharomyces cerevisiae 147 <400> SEQUENCE: 9 149 Met Glu Gly Glu Gln Ser Pro Gln Gly Glu Lys Ser Leu Gln Arg Lys 153 Gln Phe Val Arg Pro Pro Leu Asp Leu Trp Gln Asp Leu Lys Asp Gly 20 25 157 Val Arg Tyr Val Ile Phe Asp Cys Arg Ala Asn Leu Ile Val Met Pro 40 161 Leu Leu Ile Leu Phe Glu Ser Met Leu Cys Lys Ile Ile Ile Lys Lys 55 165 Val Ala Tyr Thr Glu Ile Asp Tyr Lys Ala Tyr Met Glu Gln Ile Glu 169 Met Ile Gln Leu Asp Gly Met Leu Asp Tyr Ser Gln Val Ser Gly Gly 170 85 90 173 Thr Gly Pro Leu Val Tyr Pro Ala Gly His Val Leu Ile Tyr Lys Met 105 177 Met Tyr Trp Leu Thr Glu Gly Met Asp His Val Glu Arg Gly Gln Val 115 120 181 Phe Phe Arg Tyr Leu Tyr Leu Leu Thr Leu Ala Leu Gln Met Ala Cys 135 185 Tyr Tyr Leu Leu His Leu Pro Pro Trp Cys Val Val Leu Ala Cys Leu 155 186 145 150 189 Ser Lys Arg Leu His Ser Ile Tyr Val Leu Arg Leu Phe Asn Asp Cys 165 170 193 Phe Thr Thr Leu Phe Met Val Val Thr Val Leu Gly Ala Ile Val Ala 180 185 197 Ser Arg Cys His Gln Arg Pro Lys Leu Lys Lys Ser Leu Ala Leu Val 198 195 201 Ile Ser Ala Thr Tyr Ser Met Ala Val Ser Ile Lys Met Asn Ala Leu 215 220 205 Leu Tyr Phe Pro Ala Met Met Ile Ser Leu Phe Ile Leu Asn Asp Ala 230 235 209 Asn Val Ile Leu Thr Leu Leu Asp Leu Val Ala Met Ile Ala Trp Gln 245 250 213 Val Ala Val Ala Val Pro Phe Leu Arg Ser Phe Pro Gln Gln Tyr Leu 260 265 217 His Cys Ala Phe Asn Phe Gly Arg Lys Phe Met Tyr Gln Trp Ser Ile 275 280 285 221 Asn Trp Gln Met Met Asp Glu Glu Ala Phe Asn Asp Lys Arg Phe His 295 300 225 Leu Ala Leu Leu Ile Ser His Leu Ile Ala Leu Thr Thr Leu Phe Val 229 Thr Arg Tyr Pro Arg Ile Leu Pro Asp Leu Trp Ser Ser Leu Cys His

RAW SEQUENCE LISTING DATE: 05/26/2005 PATENT APPLICATION: US/10/680,963A TIME: 10:30:14

Input Set : A:\GFI-108 Sequence listing.ST25
Output Set: N:\CRF4\05262005\J680963A.raw

230					325					330					335	
233	Pro	Leu	Arg	Lys	Asn	Ala	Val	Leu	Asn	Ala	Asn	Pro	Ala	Lys	Thr	Ile
234				340					345					350		
237	Pro	Phe	Val	Leu	Ile	Ala	Ser	Asn	Phe	Ile	Gly	Val	Leu	Phe	Ser	Arg
238			355					360					365			
241	Ser	Leu	His	Tyr	Gln	Phe	Leu	Ser	Trp	Tyr	His	Trp	Thr	Leu	Pro	Ile
242		370					375		_	_		380				
245	Leu	Ile	Phe	Trp	Ser	Gly	Met	Pro	Phe	Phe	Val	Glv	Pro	Ile	Trp	Tyr
246				-		390					395	•			-	400
		Leu	His	Glu	Trp	Cvs	Trp	Asn	Ser	Tvr		Pro	Asn	Ser	Gln	Ala
250					405	2				410					415	
	Ser	Thr	Leu	Leu		Ala	Len	Asn	Thr		Leu	Leu	Leu	Leu		Ala
254				420					425					430		
	T.e.11	Thr	Gln		Ser	Glv	Sar	Val		Τ.Δ11	Δla	Luc	Ser		T.611	Ara
258	шси	1111	435	шси	JCI	Cly	DCI	440	пια	пси	пια	цуз	445	1113	шец	AL 9
	Thr	Thr		Cor	Mot	Clu	Twa	Lys	T 011	λαη			443			
262	1111	450	SEI	SEI	MEC	Giu	455	пур	ьеи	ASII						
	-210		20 TE	NIO.	10		455									
	<210> SEQ ID NO: 10 <211> LENGTH: 458															
					8											
			PE:		0	-1				•						
					Saccharomyces cerevisiae											
			EQUEN			_	_	~-3	~3	~-3	_	_	_		_	_
		GIu	GLy	Glu		Ser	Pro	Gln	Gly		Lys	Ser	Leu	Gln	_	Lys
273	_	_	_		5					10					15	_
	Gln	Phe	Val	_	Pro	Pro	Leu	Asp		$\mathtt{Trp}$	Gln	Asp	Leu	Lys	Asp	Gly
277				20					25					30		
	Val	Arg	Tyr	Val	Ile	Phe	Asp	Cys	Arg	Ala	Asn	Leu	Ile	Val	Met	Pro
281			35					40					45			
284	Leu		Ile	Leu	Phe	Glu	Ser	Met	Leu	Cys	Lys	Ile	Ile	Ile	Lys	Lys
285		50					55					60				
288	Val	Ala	Tyr	Thr	Glu	Ile	Asp	Tyr	Lys	Ala	Tyr	Met	Glu	Gln	Ile	Glu
289	65					70					75					80
292	Met	Ile	Gln	Leu	Asp	Gly	Met	Leu	Asp	Tyr	Ser	Gln	Val	Ser	Gly	Gly
293					85					90					95	
296	Thr	Gly	Pro	Leu	Val	Tyr	Pro	Ala	Gly	His	Val	Leu	Ilė	Tyr	Lys	Met
297				100					105					110		
300	Met	Tyr	Trp	Leu	Thr	Glu	Gly	Met	Asp	His	Val	Glu	Arg	Gly	Gln	Val
301			115					120					125			
304	Phe	Phe	Arg	Tyr	Leu	Tyr	Leu	Leu	Thr	Leu	Ala	Leu	Gln	Met	Ala	Cys
305		130					135					140				<del></del>
	Tyr	Tyr	Leu	Leu	His	Leu	Pro	Pro	Trp	Cys	Val	Val	Leu	Ala	Cys	Leu
309		•				150			•	•	155				•	160
		Lys	Arq	Leu	His	Ser	Ile	Tyr	Val	Leu	Arq	Leu	Phe	Asn	Asp	Cvs
313		•			165			-		170					175	
	Phe	Thr	Thr	Leu		Met	Val	Val	Thr		Leu	Glv	Ala	Ile		Ala
317				180					185			1		190		
	Ser	Ara	Cvs		Gln	Ara	Pro	Lys		Lvs	Lvs	Ser	Len		Len	Val
321		5	195	~		5		200	<b></b> u	-10	-10		205			• • •
	Tla	Ser		Thr	ጥረም	Ser	Mot	Ala	Mal.	Ser	Tla	Lare		λαη	αו α	Lou
J 2 4	116	Ser	лта	TIIT	TAT	261	ייפנ	HIG	val	SET	116	пур	HEL	Hall	нта	בת

RAW SEQUENCE LISTING DATE: 05/26/2005
PATENT APPLICATION: US/10/680,963A TIME: 10:30:14

Input Set : A:\GFI-108 Sequence listing.ST25
Output Set: N:\CRF4\05262005\J680963A.raw

														•		
325		210	_		_		215					220				_
328	Leu	Tyr	Phe	Pro	Ala	Met	Met	Ile	Ser	Leu	Phe	Ile	Leu	Asn	Asp	Ala
329.	225					230					235					240
332	Asn	Val	Ile	Leu	Thr	Leu	Leu	Asp	Leu	Val	Ala	Met	Ile	Ala	Trp	Gln
333					245					250					255	
336	Val	Ala	Val	Ala	Val	Pro	Phe	Leu	Arg	Ser	Phe	Pro	Gln	Gln	Tyr	Leu
337				260					265					270	-	
340	His	Cvs	Ala	Phe	Asn	Phe	Glv	Ara	Lvs	Phe	Met	Tvr	Gln	Trp	Ser	Ile
341		- 2	275				- 2	280	2			•	285	-		
	Asn	Trn		Met	Met	Asp	Glu		Ala	Phe	Asn	Asp		Ara	Phe	His
345	1.011	290	V			1100	295					300	-10			
	,		T.e.11	Leu	Tle	Ser		T.em	Tle	Δla	T.e.11		Thr	T.e.ii	Phe	Val
	305	1114	шец	шец		310	1110	LCu	110	1114	315			<b></b>		320
		720	Фил	Pro	λνα		Lau	Dro	Λαn	Lau		Cor	Car	Lau	Cvc	
	1111	AIG	ıyı	PIU	325	116	пеп	FIQ	АБР		ırp	SET	SET	<b>Lieu</b>		nis
353	D	T	7	T		77-	77-7	T	7	330	7	D	7.7 ~	T	335	<b>71</b> ~
	Pro	ьeu	_	Lys	ASII	Ага	vai	ьeu		Ата	ASII	PIO	Ald	-	Int	ıте
357	_			340				_	345		~1		<b>-</b> -	350	•	
	Pro	Pne		Leu	тте	Ата	Ser		Pne	тте	GIY	vaı		Pne	ser	Arg
361	_	_	355	_			_	360	_	_	•	_	365	_	_	
	Ser		His	Tyr	Gln	Phe		Ser	Trp	Tyr	His		Thr	Leu	Pro	Ile
365		370	_			_	375		_		_	380				
		Ile	Phe	Trp	Ser	_	Met	Pro	Phe	Phe		Gly	Pro	Ile	Trp	
	385					390					395					400
372	Val	Leu	His	Glu	Trp	Cys	Trp	Asn	Ser	Tyr	Pro	Pro	Asn	Ser	Gln	Ala
373					405					410					415	
376	Ser	Thr	Leu	Leu	Leu	Ala	Leu	Asn	Thr	Val	Leu	Leu	Leu	Leu	Leu	Ala
377				420					425					430		
380	Leu	Thr	Gln	Leu	Ser	Gly	Ser	Val	Ala	Leu	Ala	Lys	Ser	His	Leu	Arg
381			435					440					445			
384	Thr	Thr	Ser	Ser	Met	Glu	Lys	Lys	Leu	Asn						
385		450					455									
388	<210	)> SI	EQ II	ON C	: 11											
389	<21	L> LI	ENGT	H: 38	39											
390	<212	2> T	YPE:	PRT								•				
391	<213> ORGANISM: Saccharomyces cerevisiae															
				NCE:	<del>-</del>											
			_			Asp	Glv	Val	Ara	Tvr	Val	Ile	Phe	Asp	Cvs	Arg
396	-				5		1		5	10					15	5
		Asn	Len	Ile		Met	Pro	Len	Len		Leu	Phe	Glu	Ser	-	Len
400				20	•••				25		cu			30		
		Lve		Ile	Tle	Lvc	Lvc			Туг	Thr	Glu			Tur	Larg
404	Cys	цуз	35	110	110	цуз	цуз	40	AΙα	TYL	1111	Giu	45	пор	- y -	цуз
	77-	П		C1.,	Cln	Tlo	C1.,		Tla	C1 =	т о	7 ~~		Mot	T 011	7 cm
	HIG		met	Glu	GIII	116		rie C	тте	GTII	ьeu	_	сту	net	neu	vah
408	П•	50 50~	<b>01</b> -	17 - T	0	Q1	55	mb	<b>01</b>	D	T ~~	60	m,	Dres	~ רת	C1
	_	ser	GIN	val	ser	_	сту	inr	GTÅ	Pro		val	ıyr	Pro	AIG	Gly
412		**. 3			m-	70			m-		75	m)	<b>a</b> 3	<b>0</b> 7	27. 1	80
	Hls	val	ьeu	Ile		ьys	Met	met	Tyr		Leu	Tnr	GIU	GIY		Asp
416				_	85			_,	_,	90		_		_	95	_,
419	His	Val	Glu	Arg	GLy	Gln	Val	Phe	Phe	Arg	Tyr	Leu	Tyr	Leu	Leu	Thr

RAW SEQUENCE LISTING ERROR SUMMARY PATENT APPLICATION: US/10/680,963A

DATE: 05/26/2005 TIME: 10:30:15

Input Set : A:\GFI-108 Sequence listing.ST25
Output Set: N:\CRF4\05262005\J680963A.raw

## Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:5; N Pos. 9,12,18 Seq#:6; N Pos. 6,12 Seq#:75; N Pos. 17,20

## Invalid <213> Response:

Use of "Artificial" only as "<213> Organism" response is incomplete, per 1.823(b) of New Sequence Rules. Valid response is Artificial Sequence.

Seq#:3,4,5,6,41,42,47,48,49,52,53,54,55,56,57,58,59,60,61,62,63,64,65,66,67,68 Seq#:69,70,71,72,73,74,75,76,77,78,79,80,81,82,83,84,85,86,87,88,89,94,95,96 Seq#:97,98,99,100,101

## VERIFICATION SUMMARY

PATENT APPLICATION: US/10/680,963A

DATE: 05/26/2005 TIME: 10:30:15

Input Set : A:\GFI-108 Sequence listing.ST25
Output Set: N:\CRF4\05262005\J680963A.raw

L:46 M:283 W: Missing Blank Line separator, <400> field identifier
L:47 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (1) SEQUENCE:
L:51 M:283 W: Missing Blank Line separator, <400> field identifier
L:52 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (2) SEQUENCE:
L:105 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:0
L:129 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:0
L:133 M:283 W: Missing Blank Line separator, <400> field identifier
L:134 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (7) SEQUENCE:
L:138 M:283 W: Missing Blank Line separator, <400> field identifier
L:139 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (8) SEQUENCE:
L:2445 M:283 W: Missing Blank Line separator, <400> field identifier
L:2446 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (43) SEQUENCE:
L:2450 M:283 W: Missing Blank Line separator, <400> field identifier
L:2451 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (44) SEQUENCE:
L:2450 M:283 W: Missing Blank Line separator, <400> field identifier
L:2451 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (44) SEQUENCE:
L:3240 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:75 after pos.:0